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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/654,665	10/654,665 09/04/2003 Walter K. Baur		CHA920030018US1	5364	
	7590 04/22/200 ARNICK & D'ALESS.	EXAMINER			
75 STATE STR 14TH FLOOR		ALMATRAHI, FARIS S			
ALBANY, NY	12207		ART UNIT	PAPER NUMBER	
			3627		
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			04/22/2008	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PTOCommunications@hwdpatents.com

## Diffice Action Summary The MAILING DATE of this communication appears on the cover sheet with the correspondence address			Application	ı No.	Applicant(s)					
FARIS ALMATRAHI 3627	Office Action Commence		10/654,665	5	BAUR ET AL.					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after Six (5) MONTHS from the mailing date of this communication. - If INO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filled, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) □ Responsive to communication(s) filled on 11 January 2008. 2a) □ This action is FINAL. 2b) □ This action is non-final. 3) □ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) □ Claim(s) 1-19 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) □ Claim(s) is/are allowed. 6) □ Claim(s) is/are objected to. 8) □ Claim(s) is/are objected to. 8) □ Claim(s) is/are objected to striction and/or election requirement. Application Papers 9) □ The specification is objected to by the Examiner. 10) □ The drawing(s) filled on is/are: a) □ accepted or b) □ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37		Onice Action Summary	Examiner		Art Unit					
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Priority under 35 U.S.C. § 119				o ino diaonod omoo	, totion of form i	10 102.				
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 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). 										
* See the attached detailed Office action for a list of the certified copies not received.										
Attachment(s)	Attachmen	t(s)								
1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)										
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 5) Notice of Informal Patent Application 6) Other:	3) 🔲 Infor	nation Disclosure Statement(s) (PTO/SB/08)		5) 🔲 Notice of Informal P						

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DETAILED ACTION

Status of the Application

- **1.** This action is in reply to applicant amendment filed January 11, 2008.
- 2. Claims 3 and 10 are amended.
- 3. Claims 1-19 are pending in this application

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

- 5. Claims 1-3, 5-10, and 12-18 are rejected under 35 U.S.C. 102(e) as being anticipated by Gryglewixcz (US Pat No. 6,993,502).
- 6. Regarding claims 1-2, 8-9, and 15, Gryglewixcz discloses a system and method for processing tax calculation requests, comprising:
 - Receiving a tax calculation request in an industry standard format at a tax engine (Figures 7-9, Column 15 lines 1-55).

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Identifying and resolving customer-specific extensions in the request (Figures 7-9, Column 15 lines 1-55, Column 2 lines 46-58).

- Selecting one of a plurality of tax calculators to handle the request (Column 9 lines 7-42, Column 26 line 48 Column 27 line 17).
- Translating the request from the industry standard format to a calculatorspecific format for the selected tax calculator (Column 26 line 48 – Column 27 line 17, Column 37 lines 25-36).
- Using the selected tax calculator to process the request in the calculatorspecific format (Figures 7-9, Column 26 line 48 – Column 27 line 17).
- 7. Regarding claims 3, 10, and 17, Gryglewixcz discloses a system and method for processing tax calculation requests, wherein the tax calculator is selected based on a rule defined in the database (Column 18 lines 1-15, Column 21 lines 10-43).
- 8. Regarding claims 5, 12, and 18, Gryglewixcz discloses a tax calculation system and method wherein at least one of the tax calculators is for a specific geographic region (Figure 11, Column 26 lines 32-47).
- 9. Regarding claims 6 and 13, Gryglewixcz discloses a tax calculation system and method comprising an update system for updating customer-specific extensions and rules (Column 8 lines 19-53, Column 20 lines 14-30).
- 10. Regarding claims 7, 14, and 16, Gryglewixcz discloses a tax calculation system and method wherein the tax engine resides on a computer network (Column 8 lines 8-18).

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Claim Rejections - 35 USC § 103

11. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 12. Claims 4, 11, and 19 are rejected under 35 U.S.C 103(a) as being unpatentable over Gryglewixcz (US Pat No. 6,993,502) in view of Sullivan (US Publication No. 2003/0055754 A1).
- 13. Regarding Claims 4, 11, and 19, Gryglewixcz fails to explicitly disclose a tax calculation system and method wherein the industry standard format comprises 3Y4 XML.
- 14. However, Sullivan discloses a tax calculation system and method wherein the input format comprises XML (Paragraph [0097]).
- 15. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the teachings of Sullivan in the device of Gryglewixcz reference to include a tax calculation system and method wherein the industry standard format comprises 3Y4 XML, for the advantage of utilizing and easily converting between standardized formats.

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Response to Arguments

16. Applicant's arguments filed on January 11, 2008 have been fully considered but they are not persuasive

- 17. Regarding Applicants arguments that the Gryglewixcz reference fails to disclose an interface for receiving tax calculation requests in an industry standard format; [and] a plurality of tax calculators, wherein each tax calculator includes an interface for receiving calculator-specific requests in a non-industry standard format. Examiner takes into account broadest interpretation of the recited limitation in the instant application. In particular, Applicant argues that there is no reference that indicates another component is able to receive the tax calculation request or that the requests may be in a particular format and that the only component configured to receive the tax request in Gryglewixcz is the tax gateway. Gryglewixcz, however, discloses a plurality of tax gateways configured to receive tax requests (Figure 1 component 34 and 40, Column 7 lines 20-26). Also, taking the broadest interpretation of the limitation as currently recited, input received by the tax gateway reads on receiving the request in a particular format. Therefore, Gryglewixcz reads on the limitations of the instant application as currently recited.
- 18. Regarding Applicants arguments that the Gryglewixcz reference fails to disclose a translator for translating the tax calculation requests from the industry standard format to a format required for one of the plurality of tax calculators. Examiner takes into account broadest interpretation of the recited limitation in the instant application. Taking the broadest interpretation of the limitation as currently recited, Gryglewixcz discloses

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interfacing with the tax gateway for communicating tax calculation requests (Figure 14 component 1124), which reads on translating tax calculation requests from the industry standard format to a format required for one of the plurality of tax calculators. Therefore, Gryglewixcz reads on the limitations of the instant application as currently recited.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Faris Almatrahi whose telephone number is (571)270-3326. The examiner can normally be reached on Monday to Friday 9:00 AM - 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ryan Zeender can be reached on (571) 272-6790. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/F. Ryan Zeender/ Supervisory Patent Examiner, Art Unit 3627

/Faris Almatrahi/ Examiner, Art Unit 3627

FA